

# Yusran\_Artikel 1

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**Submission date:** 01-Apr-2023 12:00PM (UTC+0700)

**Submission ID:** 2052711296

**File name:** Yusran\_Artikel\_1.pdf (3.35M)

**Word count:** 9450

**Character count:** 51418



ORIGINAL RESEARCH ARTICLE

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## Soil classification in a seismically active environment based on joint analysis of seismic parameters

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### ARTICLE INFO

#### Article History:

Received 16 September 2021

Revised 25 October 2021

Accepted 02 December 2021

#### Keywords:

Earthquake  
Seismic amplification  
Seismic wave velocity  
Spatial autocorrelation  
Spectral ratio

### ABSTRACT

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**BACKGROUND AND OBJECTIVES:** Soil or rock properties where buildings are situated play an important role in the ground shaking induced by an earthquake. The highly populated Banda Aceh city in the northernmost Sumatra is flanked by two active faults, the Seulimeum and the Aceh segment. Therefore, it is crucial to investigate the subsurface characteristics of the region to reduce the earthquake risk as there was no regional study has been conducted so far.

**METHODS:** Characteristics of the soil or rock of the subsurface were derived from various seismic parameters. The seismic microtremors were recorded at 36 sites covering the highly populated city at the two active faults. The spatial autocorrelation method was used to obtain a dispersion curve based on the relationship between seismic frequencies and phase velocity from triangular geophones array to determine the shear wave velocity of the subsurface layer. The seismic amplification dominant frequency and vulnerability value at each measurement point were measured using the horizontal-to-vertical spectral ratio method. The maps of velocity structure and HVSR parameters were generated from the interpolation of those seismic parameters.

**FINDINGS:** Based on the variation of the four geophysical parameters: shear wave velocity, seismic amplification, dominant frequency, and seismic vulnerability, the study area can be clustered into five different groups: I) Banda Aceh, II) Jantho, III) Krueng Raya, IV) Bknga-Lhoong, and V) Seulawah, which classify the different types of rocks. The classification of soil properties from the combination of shear wave and horizontal-to-vertical spectral ratio data correlates with the geology of the study area.

**CONCLUSION:** The Banda Aceh city, flanked by the two active faults, is characterized by low shear wave velocity and high amplification because the city stands on the sedimentary basin; thus, it requires a detailed investigation prior to constructing infrastructures. The other clusters are located on the relatively less vulnerable areas, indicated by moderate shear wave velocity and moderate to low seismic vulnerability indexes. The joint analysis shows that the combination of physical properties, including the shear wave velocity, seismic amplification, and dominant frequency, can be used to investigate lithology and seismic vulnerability into a specific cluster. The research results are essential for hazard mitigation and can be used for disaster risk management by the local government. A detailed investigation with denser measurement points needs to be conducted to comprehensively describe the types of rocks in Banda Aceh and its surrounding.

DOI: [10.22034/gjesm.2022.03.\\*\\*\\*](https://doi.org/10.22034/gjesm.2022.03.***)

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NUMBER OF REFERENCES

51



NUMBER OF FIGURES

8



NUMBER OF TABLES

1

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Note: Discussion period for this manuscript open until October 1, 2022 on GJESM website at the "Show Article".

## INTRODUCTION

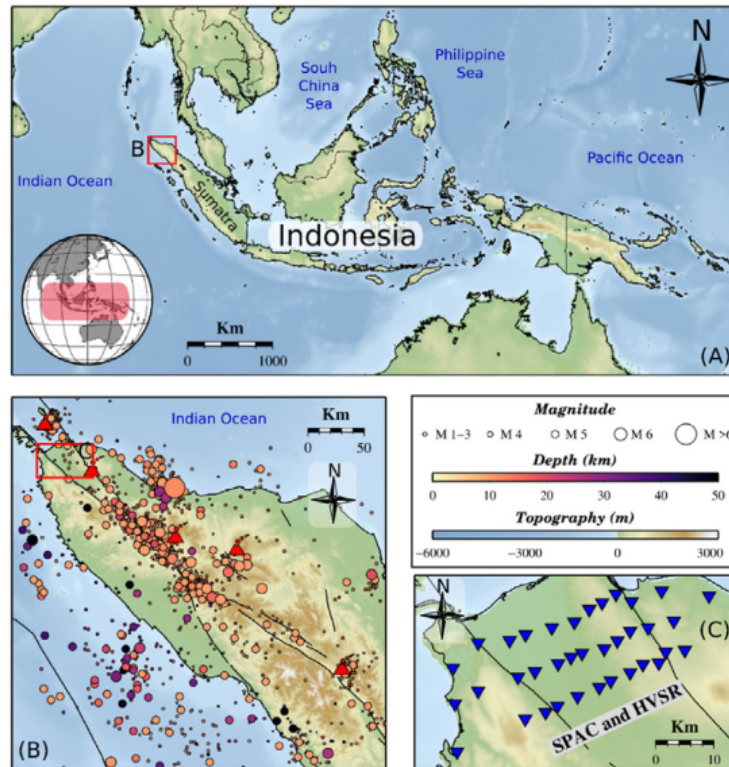
Seismic amplification and soil properties based on seismic shear wave velocity parameters are crucial to investigate, particularly seismically active and highly populated regions. Banda Aceh and its surrounding areas in the northernmost Sumatra are among the most earthquake-prone zones because they are surrounded by earthquake sources (Fig. 1). Large offshore earthquakes (e.g. the Mw 9.3 Sumatra–Andaman earthquake and the Mw 8.5 Nias earthquake) mainly occur along active subduction zones. Meanwhile, onshore earthquakes tend to occur along the northern segment of the Sumatra Fault System, including the Aceh fault, Seulimeum fault (Sieh and Natawidjaja, 2000), Pidie Jaya fault (Muzli et al., 2018; Idris et al., 2019) and Central Aceh fault (Muksin et al., 2019). The Seulimeum fault generated an earthquake with a magnitude of M 7.0 in 1936, and such an event caused building collapse and severe property damage, along with several reported fatalities (Untung et al., 1985). Although no major event has been recorded in the last 170 years along the Aceh segment, the occurrence of a large earthquake remains possible (Ito et al., 2012). Therefore, mitigation plans for earthquake events in Banda Aceh should be prioritized. The city is one of Sumatra's most economically developed areas and is becoming a highly populated region. Bilham (2009) suggested that rapid development has put developed cities at relatively high risk for a significant increase in fatalities and economic losses caused by damages to buildings or other infrastructure in the coming years. Earthquake intensity and construction quality play an important role in building damages. Several geophysical parameters can extend infrastructure damages, including seismic amplification, ground acceleration, rock or soil properties of sites, and geology of regions (Goda et al., 2015). Seismic amplification, which represents the possible extent of ground shaking of sites during earthquakes, can be defined by the maximum value of the horizontal-to-vertical spectral ratio (HVSr) at a certain dominant frequency (Nakamura, 1997). Areas with high damage ratios during earthquake events have high values of HVSr and low dominant frequencies (Nakamura, 1997).

Several studies have shown that the types of soils or rocks can be classified based on the velocity of shear waves (Vs) passing through the subsurface.

Very dense soil has Vs values between 360 and 760 m/s, whereas soft soil has Vs values of less than 180 m/s. Several methods can be used to determine Vs values, including multichannel surface wave analysis (Park et al., 2007) and the less invasive method, called spatial autocorrelation (SPAC) (Cho et al., 2004; Cho, 2019). For regional studies, shallow structures can be derived by ambient noise tomography (Ryberg et al., 2016), while the seismic refraction of very localized areas can be used to determine the velocity of pressure (Vp) and shear waves (Vs). Among the methods, SPAC is one of the least expensive ones, but it remains reliable when applied to inaccessible areas, such as the Banda Aceh region in the northernmost Sumatra. Some successful SPAC research around the world includes those conducted in Nevada (Scott et al., 2006), Italy (Fort et al., 2019), and Japan (Matsuoka et al., 2006). The objective of the research is to investigate the geophysical properties of the shallow and vulnerability of the northernmost Sumatra. The Vs values are used to derive the types of soil based on the classification of the National Earthquake Hazards Reduction Program (NEHRP). The combination of various HVSr data, including seismic amplification and dominant frequency and Vs values, can be used to derive more detailed soil clusters in the northernmost Sumatra. The results can be used to investigate the probabilistic seismic hazards of Banda Aceh and update the loss assessment map of Banda Aceh developed by Rusydy et al. (2020) for different earthquake model scenarios.

### Geology of study area

A complex tectonic process makes Banda Aceh and its surrounding areas prone to moderate–large earthquakes that potentially generate massive ground shaking on the surface. Such shaking is attributed to the Banda Aceh basin, formed since the Quaternary and pre-Quaternary and recognized as alluvium deposited. The Banda Aceh basin is mostly influenced by recent alluvium and marine sediment products consisting of gravel, sand, silt, and clay (Rusydy et al., 2020). The upper layer of the southeastern part of the Banda Aceh basin is covered by pre-Quaternary rocks from Pliocene to Pleistocene (Fig. 1) up to 500 m; these rocks consist of calcareous and tuffaceous sandstones (Siemon and Steuer, 2010). As a result of oblique subduction, the Banda Aceh basin is flanked by the Aceh fault in the southwest and the Seulimeum



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Fig. 1: (a) Geographical map of Indonesia and the study area in the Aceh Province. (b) Seismic activities map of Aceh Province showing earthquake distribution that mostly occurred along active faults and subduction zones. The red square marks the study area for this study in the Banda Aceh city and its surrounding. (c) The Study area in the Banda Aceh city and its surrounding and the observation points (blue triangle) while Aceh fault in the left part and Seulimeum fault in the right part (black line).

fault in the northeast (Muxin *et al.*, 2019). The Aceh fault marks the boundary between the Banda Aceh basin and the limestone formed from Jurassic to Cretaceous as an oceanic accretion rock that is part of the Woyla group (Barber *et al.*, 2005). In addition, the Seulimeum fault was formed within the volcanic Quaternary in the northwest of the Krue Aceh basin (Siemon and Steuer, 2010). Therefore, Culshaw *et al.*, (1979) categorized this basin as a graben-type structure.

A previous study conducted by Asrillah *et al.*, (2019) indicated that the properties beneath the Banda Aceh basin have a Vs structure that generally dips down from SE to NW and gradually declines from SW to NE. This result may be affected by the soil type around the Seulimeum fault being denser than that

around the Aceh fault, as also related to geology. As shown in Fig. 2, the geological structure in the Banda Aceh basin is dominantly formed by young alluvium sediments that can amplify ground shaking on the surface. With sandbar formations and material structures with a low density, Banda Aceh and Aceh Besar are likely to be earthquake- and liquefaction-prone zones. The current study aims to investigate the physical properties of the subsurface of the northernmost Sumatra. This study was carried out in Aceh Besar and Banda Aceh region in 2020.

## MATERIALS AND METHODS

### Data Acquisition

The experiment was conducted at 36 measurement points in the northernmost Sumatra



### Classification of soil properties

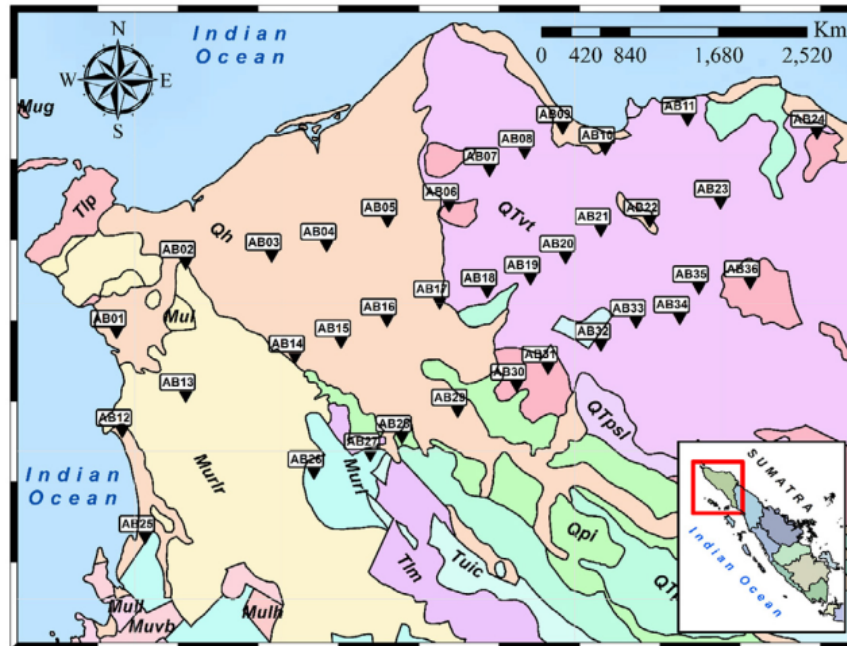


Fig. 2: Geological map of Banda Aceh and Aceh Besar regency (modified Barber et al., 1998). Most materials around the study area are composed of young alluvium (Qh), volcanic rocks (QTvt) and limestone (Murl) from the Cenozoic until the Tertiary. Measurement points are at 36 locations around Banda Aceh and Aceh Besar cross the Sumatran and Seulimeum faults, with the distance between each site being 5–10 km

covering the Banda Aceh city, the sedimentary basin and the Seulimeum and the Sumatran faults (Fig. 2). The measurement of microtremors using center-less triangle seismic arrays was performed using three high sensitivity geophones (80 V/m) with a natural frequency of 5 Hz and operating temperature range of  $-40\text{ }^{\circ}\text{C}$ – $80\text{ }^{\circ}\text{C}$ . The instrument has a harmonic distortion of less than 0.1% and can thus reduce the problems caused by the overheating and overloading of power system equipment. The distance between sites was 5–10 km, and the data recording time for each site was 30–45 min. The geophones were set with a distance of 3 m as the center-less curricular array (Cho et al., 2006); therefore, the array radius was 2.6 m. The sampling rate in the DSS Cube as the data logger was set to 100 sps (samples per second), and the effective resolution was set to 22 bits; at this condition, the recording of interval stability and time accuracy lasted 0.01 ms. Recordings were made by placing three one-component geophones at azimuths of  $0^{\circ}$ ,  $120^{\circ}$ , and  $240^{\circ}$  into three-component (north–

south, east–west, and vertical) channels of DSS Cube as the data logger. To derive the classification of rocks and soils, the data from the recordings were used to determine the shear velocity ( $V_s$ ) beneath the measurement points based on the SPAC method. At the same locations, microtremors were also used by a Nanometrics trillium compact broadband seismometer with a sensitivity of  $750\text{ V/m} \pm 0.5\%$ . The seismic waveforms were stored in DSS Cube. The recording was performed for 40 min for each site at a sampling rate of 100 sps. The data were used to determine the amplification and dominant frequency of the sites, as well as the value of seismic vulnerability, based on the HVSR method. These parameters represent the vulnerability of buildings or areas to be affected by earthquakes.

#### *V<sub>s</sub> modeling using SPAC*

The SPAC method is used to obtain a dispersion curve (curve of frequency vs. phase velocity) and model the shear wave velocity structure ( $V_s$ ) in the

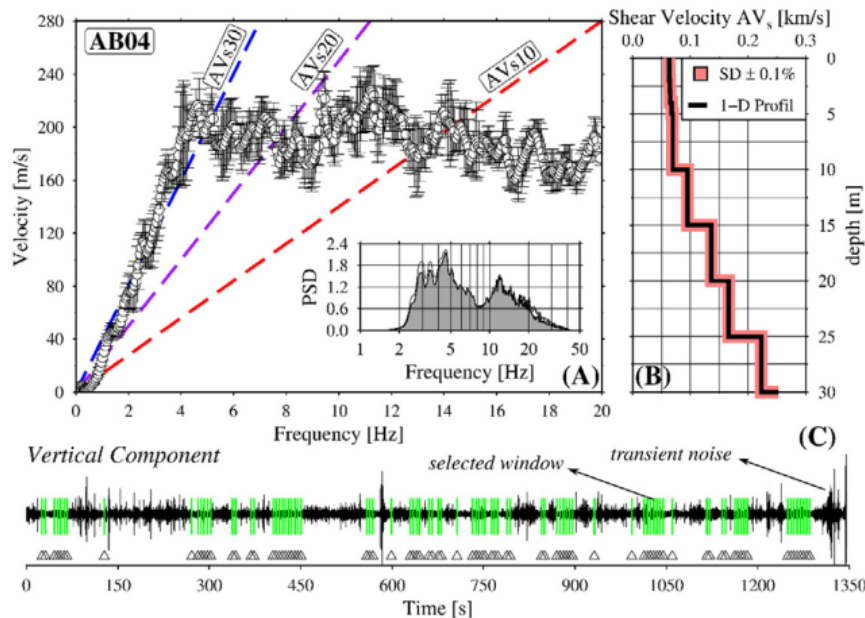


Fig. 3: (a) Dispersion curve of microtremors recorded at site AB09 describing the relationship between frequency and velocity following the linear trend ( $AV_{s,1-10}$  (blue),  $AV_{s,11-20}$  (purple), and  $AV_{s,21-30}$  (red)). The AVs trend at a specific depth (10, 20, and 30 m) was set based on the average value in the thick layer at a shallow depth. (b) AVs was converted with Eq. 3 to obtain Vs as the representative value for a specific depth. The graph shows a three-layer graph formed by Vs over depths of 10, 20, and 30 m. (c) Example of waveform recording in the vertical component at AB09 and the segmentation window (green triangle)

subsurface layer based on microtremors (Thein *et al.*, 2015). A microtremor is assumed to be complex mixed waves consisting of Rayleigh waves that arrive from multiple directions to the field (Okada, 2006). Therefore, the accuracy of microtremor analysis is highly dependent on the shape and size of arrays. Such dependence is avoided by conducting microtremor array surveys using circular seismic arrays with a certain distance. In the SPAC method, observation records are transformed into the Fourier domain, and the autocorrelation function of each geophone is calculated. Autocorrelations calculated from a triangular array configuration are referred to as SPAC functions, useful in estimating dispersion curves, which help obtain subsurface structures under realistic conditions. In the current work, BIDO2.0 tool (Cho, 2019) was used to estimate the dispersion curve of the recorded microtremor data (Fig. 3a). The recorded data were filtered, with a range of 0.1–10 Hz. For the spectral analysis of microtremors, the recorded data were divided

into 10.24 s segments (Fig. 3b) to avoid transient noise from civilian and machine activities near the measurement points. The segments containing significant variations were removed from the calculation based on the short-term average–long-term average (STA/LTA) ratios (Fig. 3c). Selected quiet segments were used to calculate the power spectra and then smoothed by fitting the parzen windows with a bandwidth of 0.3 Hz. The SPAC coefficient was calculated by averaging all azimuths. A root-solving method combining the bisection and secant methods was applied to average the spectra ratio by fitting the Bessel function to search for the radius times the wavenumber  $rk$  and the parameter of the Bessel functions in the range  $[0, rk_{max}]$ . The value of  $rk_{max}$  corresponded to the first maximum or minimum function value. The obtained  $rk$  was used to calculate the phase velocity ( $c$ ) using Eq. 1.

$$c = 2\pi \frac{f}{k} \quad (1)$$

Where,  $f$  is the frequency and  $k$  is the wavenumber. Given these parameters, the SPAC coefficient, averaged spectra ratio, and dispersion curve were calculated using the BIDO2.0 tool. In general, dispersion forms when waves propagate in the layers of soil and subsurface rocks with different velocity values. The crossing point between a dispersion curve and a surface wavelength proportional to the array radius and frequency represents the phase velocity of the corresponding wavelength (Fig. 3a). Thus, the averaged phase velocity (AVs) of the layered subsurface was obtained in this work. For example, AVs<sub>30</sub> is the AVs between 0 m (surface) and 30 m. The average shear wave velocities for depths of 0–10 m, 11–20 m, and 21–30 m, labeled respectively as AVs<sub>10</sub>, AVs<sub>20</sub>, and AVs<sub>30</sub>, are obtained when Rayleigh wave velocities correspond to the wavelengths of 13 m, 25 m, and 40 m (Cho et al., 2008). The method has limited accuracy but effectively visualizes the relative spatial variations in shear wave velocities. In this research, three averaged velocity values were estimated: AVs<sub>10</sub>, AVs<sub>20</sub>, and AVs<sub>30</sub>, for each site. With these values, Vs structure of the three-layer subsurface interval velocities can be determined using Eqs. 2, 3 and 4.

$$V_{S(0-10\text{ m})} = AV_{S10} \quad (2)$$

$$V_{S(11-20\text{ m})} = \frac{(AV_{S11} \cdot AV_{S20})}{(2 \cdot AV_{S11} - 2 \cdot AV_{S20})} \quad (3)$$

$$V_{S(21-30\text{ m})} = \frac{(AV_{S21} \cdot AV_{S30})}{(3 \cdot AV_{S21} - 2 \cdot AV_{S30})} \quad (4)$$

The Vs values of the layers can be then compared with data from Tohari et al. (2015) who assessed the Vs values below stratum using the Cone Penetration Test.

#### Horizontal to Vertical Spectral Ratio

The HVSR has routinely been considered a quick tool to assess possible amplification effects in seismic hazard studies. The microtremor records in the time domain of the horizontal and vertical components were transformed into the frequency domain to obtain the horizontal and vertical spectra. The current work used the method by Nakamura (1989) involving the HVSR of the single station microtremor records. Generally, the amplitude of a vertical component does

not change significantly, whereas that of a horizontal component is affected by soil properties. Vertical components cannot be amplified around frequency ranges, in which horizontal components receive large amplification (Nakamura, 2000). Nakamura (2000) assumed that the horizontal-to-vertical (H/V) spectrum as a frequency function is closely related to the site transfer function of shear waves and that the HVSR represents one of the amplification factors. The different H/V values for the three locations indicate the variations of the site conditions representing the rock properties of the area. In Nakamura's method (Nakamura, 1989, 2000), the local effect arising from surface geology is calculated by the spectral ratio of the horizontal and vertical components (i.e., HVSR) using Eq. 5.

$$\frac{H}{V} = \frac{\sqrt{NS^2 + EW^2}}{V} \quad (5)$$

Where, NS is the spectrum amplitude of the north-south component, EW is the east-west component, and V is the vertical component. The amplitude of the horizontal component (NS and EW) was averaged using the root mean square equation and subsequently divided by the amplitude of the vertical component to obtain the average H/V spectrum. From the H/V spectrum, the dominant frequency and period (f and T) were obtained, as well as the H/V peak, associated with the amplification at each measurement location. The dominant frequency is closely related to the lithological conditions and thickness of the subsurface. Low dominant frequency values are associated with thick layers of soft sedimentary sub surfaces, whereas high dominant frequencies are related to thin subsurface layers (Gallipoli et al., 2004; El-Hady et al., 2012). The high value for a dominant period (low dominant frequency) is due to the thick layer of sediments in the subsurface that trap Rayleigh waves for long periods (Stanko et al., 2017). The H/V spectrum is mostly influenced by wave speed and rock density. If the wave speed is low, the H/V value is high. Furthermore, the amplification value is large for areas composed of low-density rocks or soil. Seismic surface waves propagate slowly in soft sediments, and ground shaking can be amplified and thereby cause severe damage. The seismic vulnerability index (K<sub>g</sub>) can be calculated by dividing the square of the



amplification by the dominant frequency value using Eq. 6 (Nakamura, 1989).

$$K_g = \frac{A^2}{F} \quad (6)$$

The  $K_g$  value is used to identify soft soil regions and qualitatively estimate possible damage areas (Gosar, 2007; Beroya-Eitner *et al.*, 2009; Asten *et al.*, 2014; Pamuk *et al.*, 2018). Many researchers have used the HVSR method to characterize soil resonance frequency and calculate the vulnerability parameters used for earthquake mitigation plans (Tün *et al.*, 2016; Maresca *et al.*, 2018; Alamri *et al.*, 2020).

## 29 RESULTS AND DISCUSSION

### Shear wave velocity

An example of the recorded data and the 1D velocity modelling for site AB09 is shown in Fig. 3. The microtremor data were bandpass filtered, with a frequency of 0.1–10 Hz and segmented into several 10 s windows. All segments were converted into the frequency domain using fast Fourier transform to obtain the dispersion curve (Fig. 3a) for the measurement point of AB09. Phase velocity is inversely proportional to frequency, increasing over depth. The dispersion curve for site AB09 shown in Fig. 3 reflects the average shear wave velocity (AVs) controlled by the frequency range depending on the physical properties of rocks at a depth of 30 m. The relationship between AVs and frequency suggests the vertical heterogeneity of the structure beneath the site. The difference values of the shear wave velocity at all points indicate the lateral heterogeneity of the rocks in the subsurface at a depth of 30 m.

The AVs value at a depth of 20–30 m was 180 m/s (5.9 Hz), while that at 10–20 m is 160 m/s (7.7 Hz). The increasing value of AVs over certain depths suggests increasingly compact rock soil in deep layers. Given the average velocity AVs, the shear wave velocity  $V_s$  at a certain depth can be determined using Eq. 3. The values of  $V_s$  of 100, 160, and 200 m/s at depths of 10, 20, and 30 m, were obtained respectively. The example of  $V_s$  beneath site AB09 is shown in Fig. 3b. The graph of  $V_s$  is more representative than that of AVs as it shows the increase of  $V_s$  at certain depths. The  $V_s$  values were interpolated in the vertical and horizontal directions to observe the structure beneath

the study area for further analysis. The thickness of the sedimentary layer and the identification of the geological structure can be investigated from the vertical cross section shown in Fig. 4. Three vertical slices showing the structure of the subsurface are provided. Parallel lines crossing the Sumatran and Seulimeum faults were chosen to differentiate the lithologies along the lines. The type of soil along the A-B profile at a depth of 1–10 m with a  $V_s$  range of 80–100 m/s is considered as class E soil (Table 1). At greater depths, the soil is classified as class D soil. The Banda Aceh basin can also be seen beneath profiles C–D and E–F in Fig. 4.

The thickness of the sedimentary layer and the identification of the geological structure can be investigated from the vertical cross section in Fig. 4. Three vertical slices showing the structure of the subsurface were presented. Parallel lines crossing the Sumatran and Seulimeum faults differentiate the lithologies along the lines. The type of soil along the A-B profile at a depth of 1–10 m with a  $V_s$  range of 80–100 m/s is considered class E soil while at the greater depths, the soil is classified as class D soil (Table 1). The sediment formation of the Banda Aceh basin can also be seen beneath profiles C–D and E–F in Fig. 4 with a similar range. The  $V_{s30}$  figure that is vital for earthquake hazard analysis was presented. The  $V_{s30}$  are different in each station due to the subsurface condition. In Fig. 5, the 1-D velocity model ranges higher to the active fault while lower to the center part.

Fig. 5 shows the lateral variation of the  $V_{s30}$  values between 200 and 240 m/s. The high value of  $V_{s30}$  (between 215 and 220 m/s) is located along the high elevation in the Sumatran fault. The lowest value of  $V_{s30}$  is located in the Banda Aceh basin at 210 m/s (Fig. 4).

### Seismic vulnerability

The seismic records were used with minimal transient effect by applying the anti-triggering STA/LTA, with a minimum threshold of 0.1–0.5 s and a maximum threshold of 1.5–2.0 s. After reducing the transient effect in the evaluation stages, a bandpass filter, with a range of 0.005–20 Hz with a 5% cosine taper, was used. The time domain microtremors were converted to frequency domain ones and then windowed using the Konno–Ohmachi method (1998) with  $b = 40$  bandwidth. The spectral ratio graphics



### Classification of soil properties

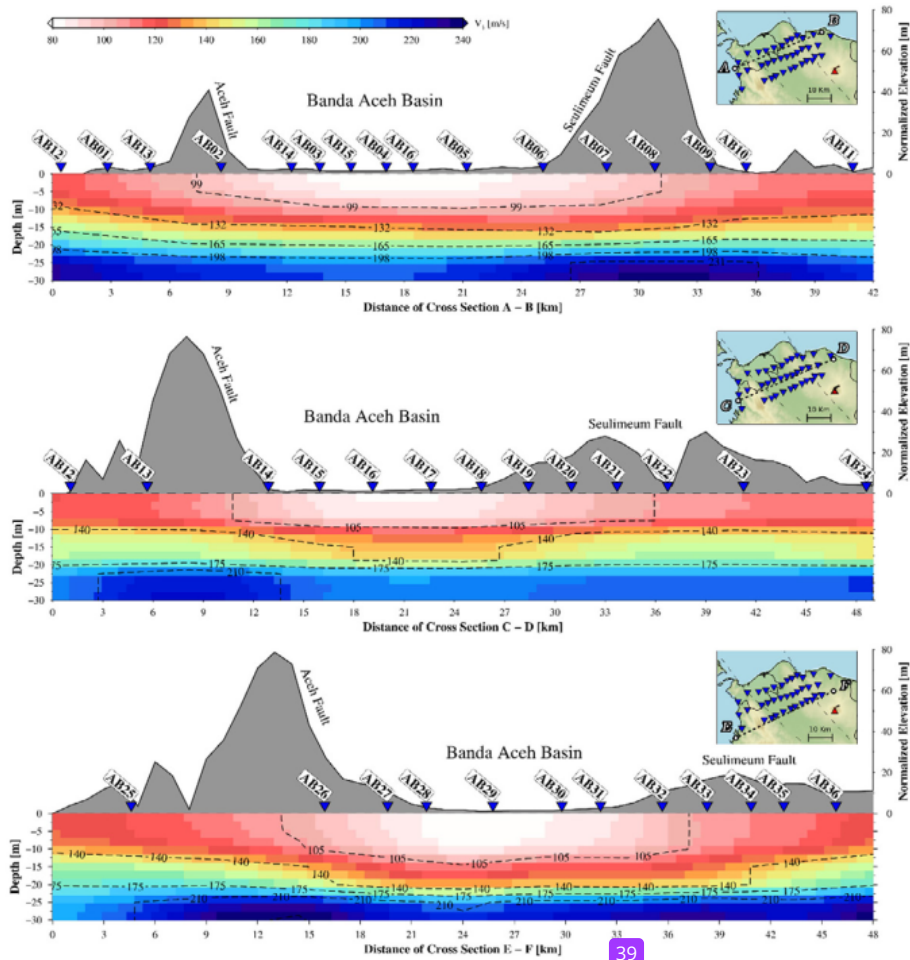


Fig. 4: Three slices are set up to figure the vertical profile of Vs over depth along lines (a) A-B, (b) C-D, and (c) E-F, respectively. The vertical profiles clearly show the existence of the Banda Aceh basin that stand on alluvial sediment in the central part, while the Aceh and Seulimeum fault stand on limestone and volcanic structure in the left and right part

Table 1: Five clusters classified from different geophysical parameters (the geological map is considered to define the possible types of rocks)

Cluster	Amplification (A)	Frequency (f)	Vs30	Soil class	Possible types of rocks
I	Low-Moderate	Moderate-High	High	E-D	Young Alluvium
II	Moderate	Low-Moderate	Low	D	Alluvial Sediment
III	High	Low-Moderate	Low	E	Young Alluvial Sediment
IV	Moderate	Moderate	Moderate	D	Limestone
V	Low	High	High	C	Volcanic Rock

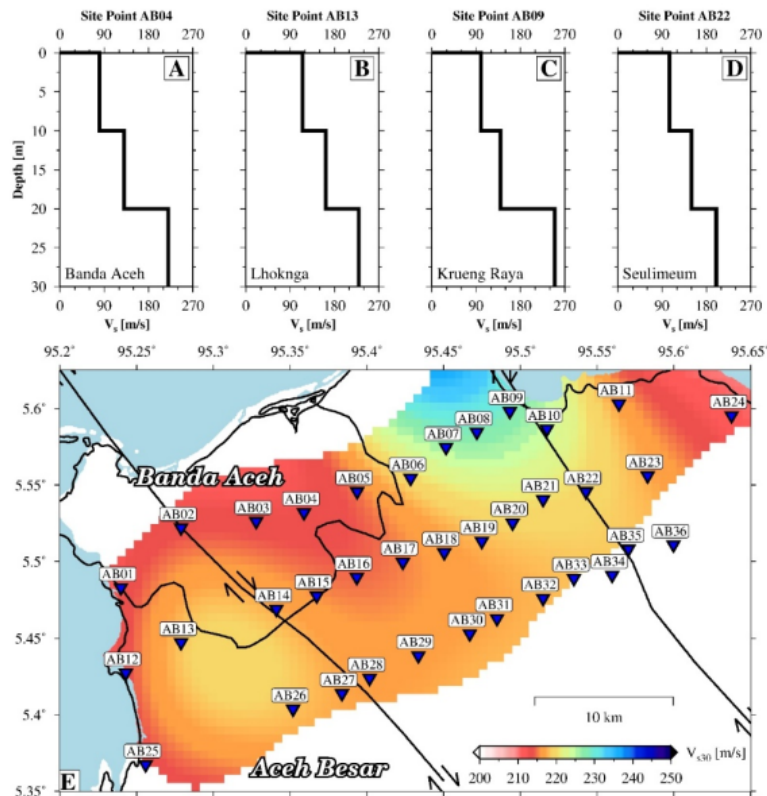


Fig. 5: (a) Examples of 1D velocity model derived from microtremor data obtained by SPAC beneath four sites AB04, AB13, AB09, and AB22; and (b) horizontal profile view of Vs30 as a result of the interpolation of Vs values at all locations

were then obtained by calculating the ratio of the horizontal components to the vertical components as the H/V amplitude. Three examples of microtremor recorded at different locations (along the Sumatran fault, Seulimeum fault, and Banda Aceh basin) and the results of the HVSR analysis are shown in Fig. 6. The different H/V values for the three locations indicate the variations of the site conditions depending on the rock properties of the area.

After analyzing the data from all sites, the values were compiled and the dominant period (T) and vulnerability index ( $K_g$ ) were calculated. The maps of the dominant frequency and period, the H/V values, and the vulnerability index are provided in Fig. 7. High dominant frequencies were recorded along the Sumatran and Seulimeum faults, while low frequency microtremors were recorded in the Banda Aceh basin of the study area (Fig. 7). In contrast to dominant

frequencies, low dominant periods were observed along the faults (Fig. 7b). The authors also calculated the amplification value (A) from the vertical axis of the peak of the H/V curve. Low H/V values were found along the two main faults because hard rocks constituted the areas. Meanwhile, the Banda Aceh basin was characterized by high values of amplification; thus, the seismic vulnerability  $K_g$  was also high. As shown in Fig. 7, the highest value of  $K_g$  is located in the basin area, while the values of  $K_g$  along the two active faults are relatively low.

Statistically, all geophysical properties from HVSR and SPAC analysis can be analyzed to measure the correlation between those parameters, as shown in Fig. 8. Each square provides the correlation values between the variables on each axis, ranging from -1 to +1. The small correlation coefficient (closer to zero) means no linear trend between the two variables,

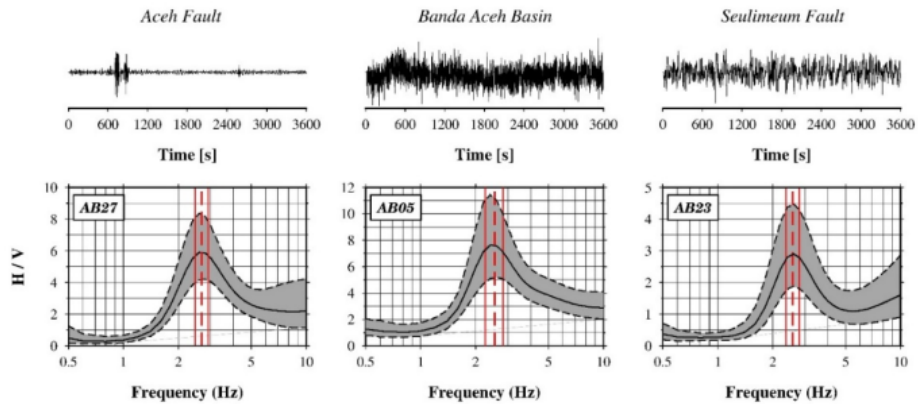


Fig. 6: Three recording examples in AB27, AB05, and AB23 show different waveforms depending on site properties. The spectrum analysis of AB27, AB05, and AB23 generated a normal curve graph of amplitude (A) and frequency (f)

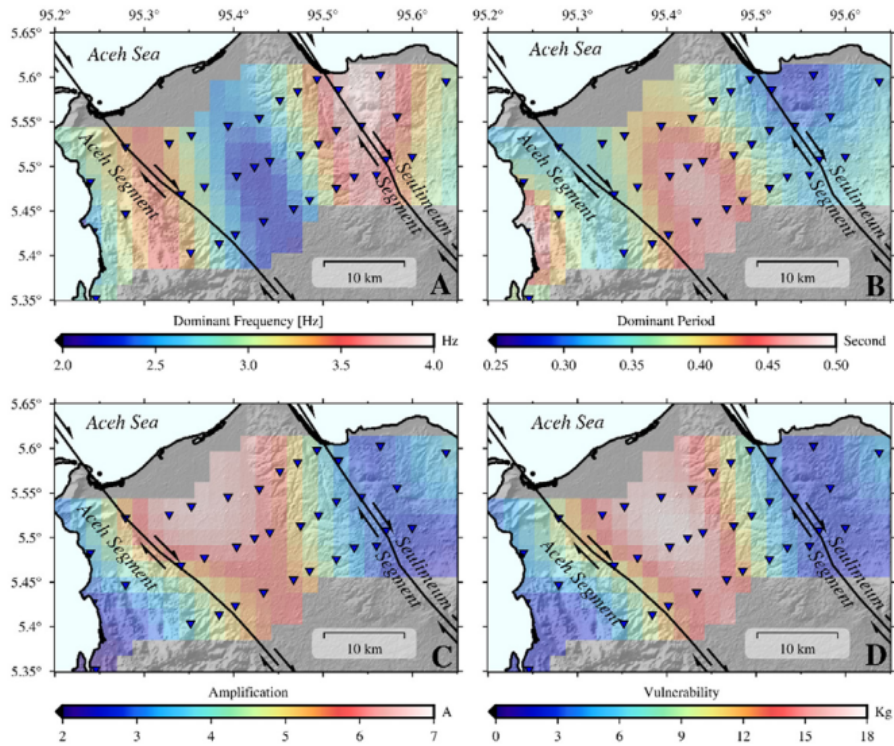


Fig. 7: Maps of (a) dominant frequency, (b) dominant period, (c) amplification, and (d) vulnerability index resulting from HVSR analysis

while the closer to 1 correlation value indicates a positive correlation between the two parameters. The values closer to -1 also indicate a good correlation

between parameters. The frequency parameter strongly correlates to shear wave velocity at a depth of 20 m but almost independent seismic amplification.

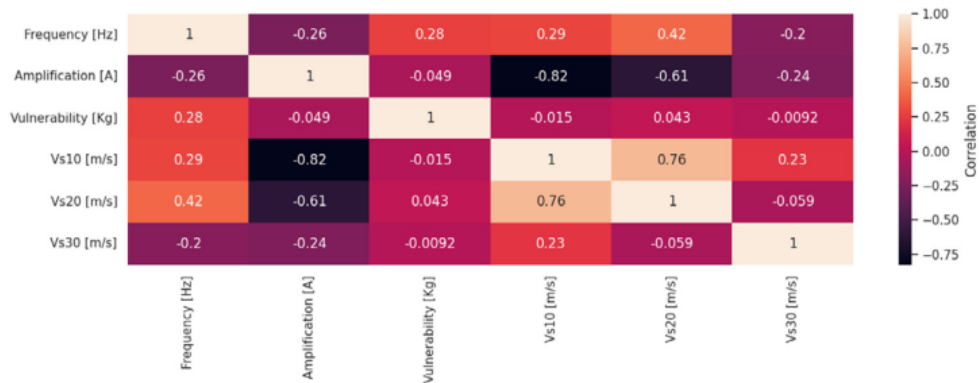


Fig. 8: Correlation index of seismic parameters: frequency, amplification, vulnerability, and shear wave velocities

The amplification has a weak relationship with shear wave velocity at a depth of 10 m. The vulnerability positively correlates to frequency and shows no correlation to shear wave velocity (Vs).

#### General interpretation of physical properties

The soil or rock characteristics were derived from the geophysical parameters of dominant frequency, amplification, and shear velocity. The authors connected  $V_{s30}$  with the H/V parameters to classify the soil properties into different categories, following the recommendation from the 1997 NEHRP; (Boore, 2004). The clustering results showed different soil classes: soft soil (E), rigid soil (D), and soft rock (C), as specified in Table 1. In most sites, nearly uniform Vs values of over 200 m/s in the third layer (21–30 m) were found, regarded as a more compact and steadier structure than the upper layer.

The soil or rocks at certain depths were considered stiff, giving high values of Vs (Fig. 4). Such structures are classified as the class D. The Vs value of the third layer at a depth of 30 m, i.e.,  $V_{s30}$ , is important because it is often used in geotechnical investigations. It highlights the characteristics of rocks at a depth of 30 m. The results of the SPAC and H/V analyses indicate a reciprocal relationship between the different geophysical parameters. The area was clustered based on different geophysical properties,  $V_{s30}$ , amplification, and dominant frequency (Fig. 9). The area with physical properties of low  $V_{s30}$ , high amplification, and relatively high dominant frequency was called Cluster I, and the rock type was classified as class E. Clusters II and III had  $V_{s30}$  values between

210 and 228 m/s with a relatively low amplification of 2.5–6. The area with amplification between 2–3,  $V_{s30}$  between 230–244, and dominant frequency of 3–4 Hz was called Cluster IV. Cluster V had the highest values of  $V_{s30}$  between 250–265 and, thus, the highest density; it also had the lowest amplification values and was considered the least seismically prone area. The maps of the clusters in Fig. 7 are shown in Fig. 9 to discuss the seismic soil properties of each area.

#### Cluster I (Banda Aceh)

Cluster I, where Banda Aceh is located (Fig. 9), is the most important area in this study because it is a densely populated region and is the center of economic and governmental activities. Banda Aceh, standing above the basin, is predominantly composed of alluvium (Fig. 2). This soil type correlates with low Vs, high amplification, and low dominant frequency (Fig. 8). The interpolation of Vs from the slice A–B shown in Fig. 4 indicates the existence of the Banda Aceh basin and two active faults in the northern part of the study area. Asrillah et al. (2019) also profiled the top layer of the Banda Aceh basin as less dense with soft soil and slightly flat layered sediments. The less-dense soil at the top surface is associated with the young alluvium (Qh) suggested by Barber (1998) in the geological map (Fig. 2). From the Cone Penetration Test data, Tohari et al. (2015) suggested that the topsoil layers in Banda Aceh mainly consists of clay and sand, coinciding with low shear wave velocity found by this study. During the 2004 Sumatra–Andaman tsunami, many reports raised a possible liquefaction phenomenon in Banda Aceh.



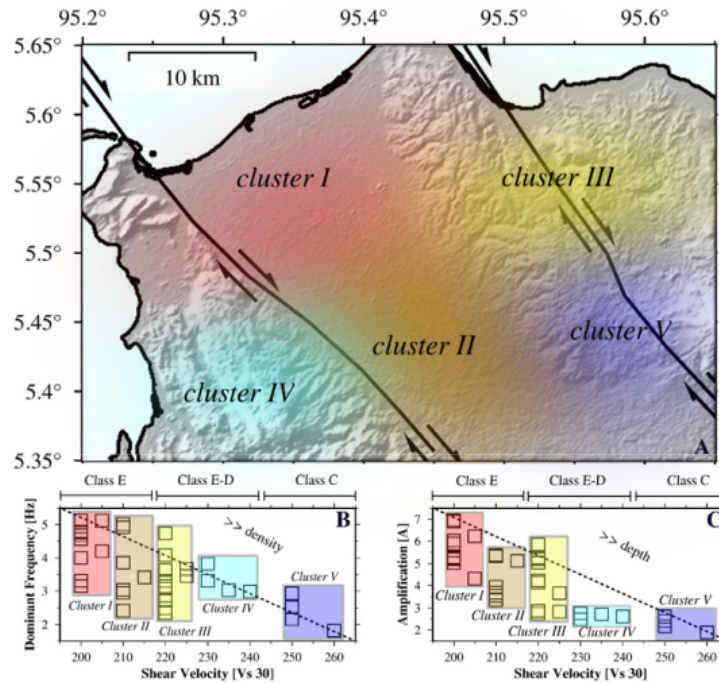


Fig. 9: Clusters of zonation derived from the combination of  $V_{s30}$ , dominant frequency and amplification (A). There is five clusters specifically located by different parameters, Cluster I in the Banda Aceh Basin, called the Banda Aceh cluster, Cluster II in the southern part of Basin Aceh, called the Jantho cluster, cluster III in the northern part of Seulimeum fault, called the Krueng Raya cluster, cluster IV along Aceh fault, called the Lhoknga-Lhoong cluster, and cluster V along Seulimeum fault and Mount Volcano of Seulawah Agam, called the Seulawah cluster

Meanwhile, seismic activities from the two closest active faults, namely, Aceh and Seulimeum, can cause shock effects. Large earthquakes along the two faults with high intensity can potentially cause liquefaction in Banda Aceh (Jalil et al., 2020). Rusydy, et al. (2020) analyzed whether an earthquake with Mw 7.0 can cause massive structural damage throughout Banda Aceh; if such an earthquake occurs during the day, their estimates showed a fatality rate of 3.5%–20% of the total population in buildings in general and economic losses reaching thousand million USD.

#### Cluster II (Jantho)

Jantho Cluster (Cluster II) is characterized by low  $V_{s30}$  and high seismic amplification. The soil and rocks in this area consist of a variety of sedimentary and rock structures, such as the Idi Formation (Idi), Lam Teuba Volcano Formation (QTVt), Seulimeum

Formation (QTps), Jantho Complex Formation (Tuic), Meucampi Formation (Tim), and Alluvium Formation. These various formations are due to the cluster location in the complex of the meeting point of the Aceh and Seulimeum faults. This cluster's soil material has been formed since the Late Jurassic to the Holocene because it is incorporated in the Seulawah and Lhoknga–Raba structures. The amplification value is moderate, while the frequency is in the low–moderate range.  $V_{s30}$  is low because the material predominantly contains alluvial sediments but is denser than the same material in the Banda Aceh cluster. This cluster is also located in the same basin as Banda Aceh, and the soil is categorized class D (Tabel 1). The measurement results in this cluster prove the existence of the Banda Aceh in the southern part up to the meeting point of the Aceh and Seulimeum faults.

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**Cluster III (Krueng Raya)**

The Krueng Raya cluster is located at the northernmost part of the Seulimeum fault, close to the coastline. The area's rock formation has been formed since the Pleistocene–Holocene with three different formations: Young Alluvium (Qh), Lam Teuba Volcanic (QTvt), and Seulimeum Formation (QTps). The volcanic rock material in this cluster mainly influences the surface profile and other eruption products, such as lahar and pyroclastic flows. The parameter results indicate that the soil group in this cluster is class D, given the moderate amplification value, dominant frequency, and  $V_{s_{30}}$ . In 1936, the northern part of the Seulimeum fault generated an earthquake with a magnitude of M 7.0, causing the collapse and damage of several buildings and leading to several fatalities in the Lam Teuba district. Thus, the vulnerability index is moderate, meaning that a building must match the dominant period ( $\sim 0.3$  s). Furthermore, the cluster has the exact topographical contours as the Lhoknga-Lhoong cluster with a dense rock structure.

**Cluster IV (Lhoknga-Lhoong area)**

Cluster IV (Lhoknga-Lhoong area) is located in the western part of the Aceh fault, and the subsurface sediments in this area contain alluvium and limestone. The geological formations, such as the Raba Limestone (Murl), Lhoknga Formation (L72), and Peunasu Formation (Tlp), were formed from the Late Jurassic to the Early Cretaceous. The values of  $V_{s_{30}}$  suggest that the soil in the Lhoknga-Lhoong cluster is categorized class E–D, with low–moderate amplification value, moderate–high dominant frequency, and low  $V_{s_{30}}$ . The moderate–high value range is mainly influenced by the density of the rock structures scattered along the Aceh fault. The vulnerability index in the Lhoknga-Lhoong cluster is relatively low because of the linear relationship between amplification and frequency. Moreover, an active fault passes through this cluster, and thus, mitigation measures must be implemented to reduce destructive effects in the future. No major event has been recorded in the last 170 years in the Aceh segment, but major earthquakes remain possible (Ito et al., 2012).

**Cluster V (Seulawah)**

The Seulawah cluster is located in the central part

of Seulimeum is and close to the Seulawah Agam stratovolcano zone. Most of the rock structures in Lam Teuba Volcanism (QTvt) contain volcanic rocks, formed since the Holocene. The dominant parameter shows high frequency recordings because the rock material is dense and provides low amplification. This soil is class D and is allowed for high construction though other parameters, such as the peak ground acceleration, or possible earthquake intensity. The  $V_{s_{30}}$  is also high, indicating that the subsurface soil properties may support basic construction. This cluster stands on the active Seulimeum fault that moves at a  $\sim 2$  cm/year slip rate and can produce earthquakes with magnitudes of up to M 7.0. The Seulimeum earthquake in 1930 inflicted significant damages and resulted in many fatalities. This cluster can also be associated with the Seulawah stratovolcano, reported being active in 1839, 1975, and 2010. Volcanic tremors can also be triggered by microseismicity in the central part of the Seulimeum fault.

**CONCLUSION**

The combination of shear wave velocity, determined by the Spatial Autocorrelation method and parameters of dominant frequency and seismic amplification derived from Horizontal-to-Vertical Ratio, was used to classify the soil properties beneath the northernmost Sumatra, one of the most seismically active regions. The clusters classified from the variation of geophysical data coincide well with geological properties from previous research and studies. Five different clusters representing various types of rocks were classified based on three different seismic properties recorded from the microtremor study at 36 points, covering the sedimentary basin, the active faults, and the Banda Aceh city and its surroundings. The highly populated Banda Aceh city (Cluster I) is among the most vulnerable areas because it stands on a thick sedimentary basin characterized by high amplification and low shear wave velocity. The low-velocity values in Banda Aceh indicate the soft soil types of rock, as observed by the previous study using the Cone Penetration Tests. Therefore, a detailed investigation is required before constructing large and high-altitude infrastructure in Banda Aceh. Cluster II (Jantho) and III (Krueng Raya) are the second most vulnerable areas because the regions are characterized by moderate shear wave

velocity and seismic amplification and are located along the Sumatran and the Seulimeum faults, respectively. The limestone is exposed in Cluster IV, correlating well to the moderate value of Vs and low amplification. Although the Seulawah volcanic region (Cluster V) is categorized by high shear wave velocity, low frequency and low seismic amplification, it is also considered a vulnerable area since it is located along the Seulimeum active fault. The sedimentary layer between the two active faults is imaged by low shear wave velocity along the three vertical profiles. The lateral variation of each geophysical property was mapped by interpolating the wide distance data points at the unsampled locations so that the anomalies between the data points could be missed. However, the research results provide a good picture of the regional structure. A comprehensive study with denser measurement points for Vs and HVSR needs to be conducted to better describe the properties of rocks. The detailed survey and analysis will support the currently available and less-resolution geological map of the study area.

#### AUTHOR CONTRIBUTIONS

Y. Asnawi contributed in conducting field experiment, HVSR data analysis and drafting the manuscript. A. Simanjuntak performed SPAC data analysis, produced maps and figures as well as interpreted the results. U. Muksin is the corresponding author and the leader of the project who provided funding for the project. S. Putri involved in data acquisition and SPAC data analysis. M. Okubo performed SPAC data analysis and wrote Method part of the manuscript and provided funding supported JSPS KAKENHI. S. Rizal supervised the field experiment and data analysis as well as provided critical revision of the manuscript. M. Syukri supervised the data acquisition in the field and data analysis.

#### ACKNOWLEDGEMENT

This scientific collaboration between Indonesian and Japanese scientists was supported by JSPS KAKENHI under grant number [17H04577] and Doctoral Disertasi Research Program under grant number [66/UN11.2.1/PT.01.03/DPRM/2021].

#### CONFLICT OF INTEREST

The authors declare no potential conflict of interest regarding the publication of this work. In

addition, the ethical issues including plagiarism, informed consent, misconduct, data fabrication and, or falsification, double publication and, or submission, and redundancy have been completely witnessed by the authors.

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#### ABBREVIATIONS

%	Percentage value
°C	Degree in Celsius
0–10 m	Depth between 0 – 10 m
0°	Azimuth angle in 0 degree
10–20 m	Depth between 11 – 20 m
1D	1-Dimension profile
20–30 m	Depth between 21 – 30 m
A	Amplification
A	Amplitude
A-B	Line of cross section from A to B
A <sup>2</sup>	Square of the amplification
AB04	04 <sup>th</sup> observation Point
AB05	05 <sup>th</sup> observation Point
AB09	09 <sup>th</sup> observation Point

<i>AB13</i>	13 <sup>th</sup> observation Point	<i>K</i>	Wavenumber
<i>AB22</i>	22 <sup>th</sup> observation Point		
<i>AB23</i>	23 <sup>th</sup> observation Point	$K_g$	Seismic vulnerability index
<i>AB27</i>	27 <sup>th</sup> observation Point	<i>Km</i>	Kilometre
<i>AV<sub>s</sub></i>	Average shear velocity	<i>M</i>	Meter
<i>AV<sub>s10</sub></i>	Average shear velocity at 0 – 10 m	<i>M</i>	Magnitude
<i>AV<sub>s20</sub></i>	Average shear velocity at 11 – 20 m	<i>m/s</i>	Meter per second
<i>AV<sub>s30</sub></i>	Average shear velocity at 21 – 30 m	<i>min.</i>	Minute
<i>B</i>	Bandwidth coefficient	<i>Ms</i>	Millisecond
<i>BIDO2</i>	SPAC analysis program	<i>Mul</i>	Lhoknga formation
<i>Bits</i>	Bit per sample	<i>Murl</i>	Lhoknga limestone rock
<i>c</i>	Phase velocity	<i>NEHRP</i>	National Earthquake Hazards Reduction Program
<i>C</i>	Soil class for sediment – Rock	<i>NS</i>	Spectrum amplitude in the horizontal north–south component
<i>C-D</i>	Line of cross section from C to D	<i>NS</i>	North-South
<i>Cm</i>	Centimetre	<i>NW</i>	North-West
<i>cm/year</i>	Centimetre over year	<i>Qh</i>	Alluvial sediment structure
<i>D</i>	Soil class for sediment	<i>QTps</i>	Seulimeum formation
<i>DSS</i>	Decision Support System	<i>Qvt</i>	Lam Teuba Volcanic
<i>E</i>	Soil class for soft sediment	<i>Rk</i>	Radius between geophone
<i>E-D</i>	Soil class between soft sediment and hard soil	$r_{k_{max}}$	Maximum radius between geophone
<i>E-F</i>	Line of cross section from E to F	<i>S</i>	Second
<i>e.g.</i>	Latin phrase example gratia (for example)	<i>SPAC</i>	Spatial autocorrelation
<i>Eq</i>	Equation	<i>sps</i>	Sampling per second
<i>EW</i>	Spectrum amplitude in the horizontal east-west component	<i>STA/LTA</i>	Ratio between the amplitude
<i>EW</i>	East-west	<i>SW</i>	South-West
<i>F</i>	Frequency	<i>T</i>	Period
<i>f</i>	Dominant frequency	<i>Tim</i>	Meucampi formation
<i>Fig.</i>	Figure	<i>Tlp</i>	Peunasu formation
<i>H/V</i>	The horizontal-to-vertical spectrum	<i>Tuic</i>	Jantho complex formation
<i>H/V peak</i>	Maximum peak of horizontal-to-vertical spectrum	<i>USD</i>	United State Dollar
<i>HVSR</i>	Horizontal to vertical spectral ratio	<i>V</i>	Spectrum amplitude in the vertical component of seismic waveform
<i>Hz</i>	Hertz	<i>V/m</i>	Seismometer sensitivity (volt/meter)
<i>i.e.</i>	Latin phrase Id est (this is)	<i>Vp</i>	Velocity of pressure
<i>Idi</i>	Idi Formation	<i>V<sub>s</sub></i>	Share velocity



$V_{S_{(0-10\text{ m})}}$	7 Shear wave velocities for depths of 0–10 m
$V_{S_{(11-20\text{ m})}}$	7 Shear wave velocities for depths of 11–20 m
$V_{S_{(21-30\text{ m})}}$	7 Shear wave velocities for depths of 21–30 m
$V_{S_{10}}$	7 Shear wave velocities for depths of 0–10 m
$V_{S_{20}}$	7 Shear wave velocities for depths of 11–20 m
$V_{S_{30}}$	7 Shear wave velocities for depths of 21–30 m
Z	Vertical Component
$\pi$	Pi is a mathematical constant (3,14159)

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**HOW TO CITE THIS ARTICLE**

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